

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)							
1. Name and Address of Reporting Person * SIMS JAMES K  2. Date of Event Statement (Month)		1 0		and Ticker or Trading Symbol NC [AIRG]			
C/O AIRGAIN, INC., 3611 VALLEY CENTRE DRIVE, SUITE 150	- 08/11/2016		4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date Original Filed(Month/Day/Year)	
(Street) SAN DIEGO, CA 92130			_X_ Director			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City) (State) (Zip)	Table I - Non-Derivative Securities Beneficially Owned						
1. Title of Security (Instr. 4)	2. Amount of Sec Beneficially Own (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock		8,000		D			
Common Stock		217,602		I	See Footnotes (1) (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	Derivative Security  2. Date Exercisable and Expiration Date (Month/Day/Year)  3. Title and Amount of Sunderlying Derivative Sunderlying Sunderlying Derivative Sunderlying Sunderlying Sunderlying Sunderlying Sunderlying Sunderlying Sunderlying Sunderly			or Exercise Price of Derivative	5. Ownership Form of Derivative Security: Direct	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	(D) or Indirect (I) (Instr. 5)	
Series A Preferred Stock	(3)	(3)	Common Stock	1,777	\$ (3)	D	
Series A Preferred Stock	(3)	(3)	Common Stock	27,826	\$ <u>(3)</u>	I	By Gen 3 Partners, Inc. (2)
Series B Preferred Stock	<u>(3)</u>	(3)	Common Stock	82,416	\$ <u>(3)</u>	I	By Gen 3 Partners, Inc.
Series C Preferred Stock	(3)	(3)	Common Stock	39,697	\$ <u>(3)</u>	I	By Gen 3 Partners, Inc. (2)
Series D Preferred Stock	<u>(3)</u>	<u>(3)</u>	Common Stock	42,372	\$ <u>(3)</u>	D	
Series D Preferred Stock	(3)	(3)	Common Stock	12,309	\$ <u>(3)</u>	I	By Gen 3 Partners, Inc. (2)
Series E Preferred Stock	(3)	(3)	Common Stock	11,790	\$ <u>(3)</u>	D	
Series E Preferred Stock	(3)	(3)	Common Stock	157,515	\$ <u>(3)</u>	I	By GEN3 Capital I, LP
Series F Preferred Stock	(3)	(3)	Common Stock	7,692	\$ <u>(3)</u>	D	
Series F Preferred Stock	(3)	<u>(3)</u>	Common Stock	96,899	\$ <u>(3)</u>	I	By GEN3 Capital I, LP
Series G Preferred Stock	<u>(3)</u>	<u>(3)</u>	Common Stock	383,995	\$ <u>(3)</u>	I	By GEN3 Capital I, LP
Stock Option (Right to Buy)	<u>(4)</u>	01/24/2017	Common Stock	3,000	\$ 2.2	D	
Stock Option (Right to Buy)	<u>(4)</u>	12/10/2017	Common Stock	4,000	\$ 2.2	D	

Stock Option (Right to Buy)	<u>(4)</u>	03/17/2019	Common Stock	4,000	\$ 2.6	D	
Stock Option (Right to Buy)	<u>(4)</u>	12/03/2019	Common Stock	4,000	\$ 2.6	D	
Stock Option (Right to Buy)	<u>(4)</u>	01/18/2021	Common Stock	4,000	\$ 2.6	D	
Stock Option (Right to Buy)	<u>(4)</u>	06/12/2023	Common Stock	8,000	\$ 2.3	D	
Stock Option (Right to Buy)	<u>(4)</u>	05/12/2024	Common Stock	4,000	\$ 3.1	D	
Stock Option (Right to Buy)	<u>(4)</u>	06/11/2025	Common Stock	32,370	\$ 1.6	D	
Stock Option (Right to Buy)	<u>(4)</u>	05/24/2016	Common Stock	9,510	\$ 1.9	D	
Dividend Right	<u>(5)</u>	(5)	Common Stock	59,388	\$ <u>(5)</u>	D	
Dividend Right	<u>(5)</u>	<u>(5)</u>	Common Stock	33,972	\$ <u>(5)</u>	I	By Gen 3 Partners, Inc (2)
Dividend Right	<u>(5)</u>	<u>(5)</u>	Common Stock	351,051	\$ <u>(5)</u>		By GEN3 Capital I, LP

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
SIMS JAMES K C/O AIRGAIN, INC. 3611 VALLEY CENTRE DRIVE, SUITE 150 SAN DIEGO, CA 92130	X	X			

#### **Signatures**

/s/ Jim K. Sims	08/11/2016		
**Signature of Reporting Person		Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 51,246 shares of common stock held by GEN3 Capital I, LP ("GEN3 Capital") and 166,358 shares of common stock held by Gen 3 Partners, Inc. ("Gen 3 Partners").
- The general partner of GEN3 Capital is GEN3 Capital Partners, LLC ("GEN3 LLC"), and Jim K. Sims is the Managing Member of of GEN3 LLC. As a result, each of GEN3 LLC and Mr. Sims may be deemed to share beneficial ownership of the shares of common stock held by GEN3 Capital. Mr. Sims may be deemed to share beneficial ownership of the shares held by Gen 3 Partners in his capacity as a director of Gen 3 Partners. Mr. Simms disclaims such beneficial ownership extent to the extent of his pecuniary
- (3) The preferred stock is convertible at any time, at the holder's election and has no expiration date. The preferred stock will automatically convert into common stock upon the closing of the Issuer's initial public offering.
- (4) The option is fully vested.
- (5) The dividend rights are payable in cash or shares of common stock at the Issuer's election immediately upon the closing of the Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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