## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Type Responses)														
1. Name and Address of Ro SIMS JAMES K	eporting Person *		2. Issuer Name and AIRGAIN INC [		[radir	ng Symbol			5. Relationship of Reporting Person(s (Check all applic X Director X					
(Last) C/O AIRGAIN, INC., DRIVE, SUITE 150	, 3611 VALLEY	CENTER	3. Date of Earliest To 01/16/2019	ransaction (I	Mont	h/Day/Yea	ır)			Other (specify bel	low)			
SAN DIEGO, CA 921	(Street)	4	4. If Amendment, Da	ate Original	Filed	(Month/Day	Year)		6. Individual or Joint/Group Filing(Ch _X_ Form filed by One Reporting Person Form filed by More than One Reporting Pers	• • • • • • • • • • • • • • • • • • • •	ine)			
(City)	(State)	(Zip)		Table I - N	on-D	erivative	Securitio	es Acq	uired, Disposed of, or Beneficially Ov	vned				
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	Execution Date, if	(Instr. 8)	ion	4. Securi (A) or Di (Instr. 3,	isposed o	f (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D)	Beneficial Ownership			
				Code	V	Amount	(A) or (D)	Price		or Indirect (I) (Instr. 4)	(Instr. 4)			
Common Stock (1)		01/16/2019		A		20,326	A	\$ 0	244,920 <u>(2)</u>	D				
Common Stock									1,053,392	I	See Footnotes (3) (4)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of			3A. Deemed	4.		5. Numb		6. Date Exer		7. Title and			9. Number of		11. Nature
Derivative	Conversion	Date	Execution Date, if	Transact	ion	of Derivative Exp		Expiration Date		of Underlying		Derivative	Derivative	Ownership	of Indirect
Security	or Exercise	(Month/Day/Year)	any	Code		Securitie	S	(Month/Day/Year)		Securities		Security	Securities	Form of	Beneficial
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	)	Acquired				(Instr. 3 and	4)	(Instr. 5)	Beneficially	Derivative	Ownership
	Derivative					(A) or							Owned	Security:	(Instr. 4)
	Security					Disposed	of						Following	Direct (D)	
						(D)							Reported	or Indirect	
						(Instr. 3,	4,						Transaction(s)	(I)	
						and 5)							(Instr. 4)	(Instr. 4)	
											Amount				
								_			or				
									Expiration	Title	Number				
								Exercisable	Date		of				
				Code	V	(A)	(D)				Shares				
Stock Option (Right to Buy)	\$ 11.46	01/16/2019		A		50,000		(5)	01/15/2029	Common Stock	50,000	\$ 0	50,000	D	

### **Reporting Owners**

Depositing Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
SIMS JAMES K C/O AIRGAIN, INC. 3611 VALLEY CENTRE DRIVE, SUITE 150 SAN DIEGO, CA 92130	X	X	Interim CEO					

#### **Signatures**

/s/ Anil Doradla, Attorney-in-Fact 01/18/2019

Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit (RSU) represents a contingent right to receive one share of the Issuer's common stock. The RSUs shall vest in substantially equal installments on each of March 1, 2020, March 1, 2021, March 1, 2022 and March 1, 2023, subject to the Reporting Person's continued employment or service with the Issuer through each such vesting date.
- (2) Includes 20,326 restricted stock units.
- (3) Includes 1,043,464 shares of common stock held by GEN3 Capital I, LP ("GEN3 Capital") and 9,928 shares of common stock held by Gen 3 Partners, Inc. ("Gen 3 Partners").

  The general partner of GEN3 Capital is GEN3 Capital Partners, LLC ("GEN3 LLC"), and James K. Sims is the Managing Member of GEN3 LLC. As a result, each of GEN3 LLC and Mr.
- (4) Sims may be deemed to share beneficial ownership of the shares held by GEN3 Capital. Mr. Sims may be deemed to share beneficial ownership of the shares held by Gen 3 Partners in his capacity as a director of Gen 3 Partners. Each of the individuals and entities listed herein disclaims such beneficial ownership except to the extent of his or its pecuniary interest therein.
- (5) The option is exercisable as it vests. 25% of the shares subject to the option vest on January 1, 2020, and 1/48th of the total number of shares subject to the option vest monthly thereafter, subject to the Reporting Person's continued employment or service with the Issuer through each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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