SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SIMS JAMES K						Ame and AIN IN		or Trading S IRG]	ymbol			k all applicab Director	Director		n(s) to Issuer 10% Owner		
(Last) (First) (Middle) C/O AIRGAIN, INC.					ate of 01/20		Fransact	ion (Month/E)ay/Yea	ar)		Officer (give title below)			Other (specify below)		
3611 VALLEY	CENTRE	DRIVE, SUITE	150														
(Street) SAN DIEGO CA 92130 (City) (State) (Zip)				4. If Amendment, Date of Original Filed (Month/Day/Year) 02/03/2023								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
	(State)	•															
		1	able I - Non-					quired, D	· -		,						
Date				2. Transacti Date Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year		Transaction Dispose Code (Instr.			ities Acquired (/ d Of (D) (Instr. 3		5. Amount Securities Beneficially Following I	y Owned Reported	Form	vnership 1: Direct (D) direct (I) 7: 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code	v 🔺	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(instr. 4)	
			Table II - De (e.				•	· ·			or Benefici le securitie		ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable		iration e	Title	Amount or Number of Shares		(Instr. 4)	.011(5)		
Stock Option (Right to Buy)	\$8	02/01/2023		A		9,903		(1)	01/3	1/2033	Common Stock	9,903	\$0.00	9,90	3	D	

Explanation of Responses:

1. The option is exercisable as it vests. 100% of the shares subject to the option vest on February 1, 2024, subject to the Reporting Person's continued service with the Issuer through such vesting date.

Remarks:

On February 3, 2023, the Reporting Person. The correct number of stock options granted is listed above.

/s/ Michael Elbaz, as attorney-in-	03/17/2023			
<u>fact</u> ** Signature of Reporting Person	Date			

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.